

Grantee: Kentucky

Grant: B-10-DF-21-0001

January 1, 2015 thru March 31, 2015 Performance Report



Grant Number:

B-10-DF-21-0001

Obligation Date:**Award Date:**

11/10/2010

Grantee Name:

Kentucky

Contract End Date:**Review by HUD:**

Reviewed and Approved

Grant Award Amount:

\$13,000,000.00

Grant Status:

Active

QPR Contact:

Jennifer Peters

LOCCS Authorized Amount:

\$13,000,000.00

Estimated PI/RL Funds:**Total Budget:**

\$13,000,000.00

Disasters:

Declaration Number

FEMA-1912-KY

Narratives

Disaster Damage:

COMMONWEALTH OF KENTUCKY
 >AMENDED ACTION PLAN (#2) FOR
 >CDBG 2010 DISASTER RECOVERY FUNDS

>U.S. Department of Housing and Urban Development
 > Docket No. FR-5452-N-01
 >Federal Register: November 10, 2010 (Volume 75, Number 217)

Steven L. Beshear, Governor

>Tony Wilder, Commissioner

Prepared by:

Office of Federal Grants
 >Department for Local Government
 >1024 Capital Center Drive, Suite 340
 >Frankfort, Kentucky 40601

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Summary of Changes

After consultation with HUD and local governments, the following changes have been made:

Page 4, Paragraph 3 was added: The Department for Local Government requested applications from affected local governments for the \$12,350,000 allocation. However, the total amount requested did not equal the total allocation; therefore DLG has a balance left to distribute. DLG worked with applicants to determine whether more funds were needed in excess of the previously set \$300,000 maximum award. Many applicants indicated that additional funds were needed to complete the project that they had in

Disaster Damage:

back to comply with the \$300,000 maximum. In addition, DLG will also reevaluate current CDBG projects and applications to see if they were in response to the 2010 flooding. If the projects fit within the DRI parameters, the DRI allocation will be used to fund these CDBG projects. If additional DRI funds remain after increasing the grant maximum for current DRI applicants and using DRI funds for current eligible CDBG projects and applications, then DLG will call for additional project applications from local governments using its normal procedure.

Page 5, Paragraph 3 was added: On October 28th, 2011, DLG solicited feedback from current 2010 DRI applicants asking if additional funds were needed to complete the projects, and if so, how much. This feedback assisted us in determining changes to the Action Plan.

Page 8, Paragraph 5, the following sentence was deleted: The maximum grant amount per jurisdiction was omitted.

Page 17, a new public comment period was referenced for the amended (#2) action plan.

INTRODUCTION

The Commonwealth of Kentucky through the Department for Local Government (DLG) is required to publish an Action Plan for Disaster Recovery (Action Plan) that describes the proposed use of the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) funding associated with the Supplemental Appropriations Act, 2010 (Public Law 111-212, approved July 29, 2010) for disaster relief, long term recovery, and restoration of infrastructure, housing, and economic revitalization in the areas affected by severe storms and flooding from May 1, 2010 through June 1, 2010.

This document will describe:

- >• The citizen participation process used to develop the Action Plan;
- >• Eligible areas and applicants, methodology used to distribute the funds;
- >• Activities for which funding may be used; and
- >• Grant administration standards.

This Action Plan will be used by the state to guide the distribution of CDBG funds for the necessary expenses related to disaster relief, long-term recovery and restoration of infrastructure, housing and economic revitalization in Presidential declared disaster areas, as directed by Public Law 111-212 and HUD. This Action Plan is in response to the U.S. Department of Housing and Urban Development’s Notice of Funding Availability provided in Federal Register/Volume 75, No. 217, Docket No. FR-5452-N-01. These funds may not be used for activities reimbursable by, or for which funds are made available by, the Federal Emergency Management Agency (FEMA), the Army Corps of Engineers, however, these funds may be used as matching funds for those funding sources.

This Action Plan will be used by the state to guide the distribution of \$12,350,000 (net of administration) CDBG funds for the necessary expenses related to disaster relief, long-term recovery and restoration of infrastructure, housing and economic revitalization in Presidential declared disaster areas.

The Department for Local Government requested applications from affected local governments for the \$12,350,000 allocation. However, the total amount requested did not equal the total allocation; therefore DLG has a

Disaster Damage:

d that additional funds were needed to complete the project that they had initially applied for and after consulting with applicants, it became apparent that many projects were scaled back to comply with the \$300,000 maximum. In addition, DLG will also reevaluate current CDBG projects and applications to see if they were in response to the 2010 flooding. If the projects fit within the DRI parameters, the DRI allocation will be used to fund these CDBG projects. If additional DRI funds remain after increasing the grant maximum for current DRI applicants and using DRI funds for current eligible CDBG projects and applications, then DLG will call for additional project applications from local governments using its normal procedure.

THE EFFECT OF THE DISASTERS AND KENTUCKY’S RECOVERY NEEDS

The magnitude of the effects of the 2010 disaster on the Commonwealth has been among the worst in its history. Eighty-three (83) Kentucky counties out of 120 have been included in this presidentially declared disaster.

DR-1912, a major disaster declaration, provides funding for assistance in 83 counties as a result of strong thunderstorms which produced tornadoes, floods, hail, mudslides and landslides, which resulted in over \$74,819,677 of unmet needs.

FEDERAL AND STATE RESPONSES TO DATE

From the disaster incident (DR-1912), the federal and state governmental agencies worked together to provide funds to the areas that desperately needed assistance. Their cooperation has resulted in the timely provision of assistance to local governments and individuals in the impacted areas. FEMA has provided over \$20 million in individual assistance and over \$18 million in public assistance, which was matched with funds from the Commonwealth of Kentucky.



Applications will be evaluated using the following criteria in a single competitive round:

1. The importance of the project as it relates to the disaster recovery of the applicant;
- >2. The percentage of Low-to-Moderate income persons served; and
- >3. The ratio of persons served to dollars spent.

It is anticipated that the primary two National Objectives will be benefitting Low-and-Moderate Income persons and communities with an Urgent Need. If there is a variation, it will be noted for each individual activity.

Actual grant amounts may be negotiated on a case by case basis and the amount of assistance will depend upon the factors described above.

Allocation: \$12,350,000

>Administration

DLG will set aside \$390,000 of its CDBG Disaster Recovery Funds for the state's costs associated with administering the Program. This constitutes three percent (3%) of the State's CDBG Disaster Recovery administration allocation of 5%. These funds will be used by the Office of Federal Grants for expenses associated with administering the 2010 CDBG Disaster Recovery Program, including direct personnel services and fringe benefits of DLG CDBG staff, direct and indirect expenses incurred in the proper administration of the state's program and monitoring activities respective to Disaster Recovery grants awarded to units of local government. The additional 2% will be the maximum allowable grantee administrative cost per project.

Allocation: \$650,000

>ANTI-DISPLACEMENT AND RELOCATION

Recipients implementing activities identified in this Action Plan are expected to ensure the assistance and protections afforded to any persons or entities under the Uniform Relocation Assistance and Real Property Acquisition Policies (URA) of 1970, as amended and section 104 (d) of the Housing and Community Development Act of 1974 (HCD) as amended.

>HIGH QUALITY, DURABILITY AND ENERGY EFFICIENCY

Any housing activity involving the construction and rehabilitation of multi-family and single-family dwellings must meet all building codes and standards adopted and enforced by the Commonwealth of Kentucky as well as any local ordinances that exceed the State codes and standards.

Recovery Needs:

acost-effective basis, considering construction and operating costs over the life cycle of the structure. Efficiency may be demonstrated through design based on LEED, Green Globes, Energy Star and/or other comparable guidelines and rating systems. Historic, aesthetic and local sourced materials shall be afforded value in this analysis.

PROVISION OF ADEQUATE, FLOOD-RESISTANT HOUSING FOR ALL INCOME GROUPS THAT LIVED IN THE DISASTER IMPACTED AREAS

The Commonwealth of Kentucky will encourage the grant recipients to provide information about affordable housing choice available from the various housing agencies such as the KY Housing Corporation, Public Housing Authorities and federal agencies such as HUD, Rural Development and FEMA to the residents affected by the disaster using all forms of media. Many impacted communities have convened a long term recovery committee. An integral responsibility of these committees is developing strategic solutions to address unmet housing needs. Local Continuums of Care have been actively engaged in the long term recovery committees to ensure that the homeless or those at risk of homelessness due to flooding have adequate housing and support services.

ACTION PLAN AMENDMENTS

The following events would require a substantial amendment to the Action Plan:

- • Addition or deletion of any allowable activity described in the Plan;
- >• Change in the planned beneficiaries.

Substantial amendments to the Disaster Recovery Action Plan, if any will be published on the DLG website and emailed to the affected units of local government and will provide for a public comment period. All comments will be considered.

MONITORING

DLG will utilize time-tested Commonwealth of Kentucky CDBG Program monitoring policies and procedures for ensuring compliance with federal guidelines. These policies and procedures are consistent with those used by HUD to monitor state-administered and entitlement program. In addition, the Auditor of Public Accounts and HUD frequently perform monitoring or auditing to ensure that DLG is in compliance with state and federal rules and regulations and to assist the state in providing guidance to CDBG recipients. The CDBG Program responds to these independent internal audit functions by modifying internal and external administration of the funding. DLG may conduct at least one monitoring visit for every grant project that receives CDBG Disaster Recovery funds, unless staff determines more visits are necessary. The on-site visit is a structured review conducted by DLG staff at locations where project activities are being carried out and project records are being maintained. These visits combine on-site technical assistance with compliance review. The grantee must ensure that all records relating to the award are available at DLG's monitoring.

DLG will use its existing monitoring process to ensure that all contracts funded under this disaster recovery allocation are carried out in accordance with federal and state laws, rules and regulations.

DLG will develop a revised monitoring checklist to include a set of questions designed to address the non-duplication of benefits issue.

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Recovery Needs:

Grants will provide technical assistance to local government grant recipients and undertake administrative and monitoring activities to ensure compliance with applicable federal requirements.

National Objective

All activities must meet one of the three national objectives set out in the Housing and Community Development Act (address slum and blight, urgent need or primarily benefit low and moderate income people). At least 50% of the Disaster Recovery funding will be used for activities that meet the national objective of primarily benefiting persons of low and moderate (LMI) income.

Administrative Costs

Grantees are strongly encouraged to minimize their administrative costs in order for program activities to be maximized. To promote this goal,



the amount of allowable grantee administrative costs will be capped at 2 percent (2%) per project.

Program Changes through Contract Amendments

All grantees will be encouraged to carefully plan projects that meet the stated requirements and to specify activities, associated costs and proposed accomplishments and beneficiaries in order to reduce the need for amending contracts. Grantees must contact DLG prior to requesting an amendment or contract modification that affects the budget, activities, beneficiaries or time frame for accomplishing the proposed activities. Substantial amendments may be cause to review the entire application to determine if the project is meeting its stated goals and its timelines.

Documentation

The use of the disaster funding is contingent upon certain requirements, both the state and local government will be expected to certify that these requirements will be met or carried out. The chief elected official authorized by the local governing body to apply for funds will be required to certify in writing that the grant will be carried out in accordance with applicable laws and regulations. In addition, local governments will be required to submit or maintain documentation that fully supports the application submitted to the State. Failure to document project need as a result of the disaster(s), or to mitigate the effects of future disasters, will result in an application being declared ineligible. If this discovery is made after a project award, the contract with the local government will be terminated and the local government will have to repay any funds received to that point.

Reporting

Each grant recipient must report as to the status of activities undertaken and the funds drawn. This form will be provided by DLG. Additional reporting requirements (i.e., annual audits, contractual obligations and minority business enterprise reports, as applicable) will be specified in the grant agreement.

>Citizen Complaints

All grantees should establish procedures for responding to citizens' complaints regarding activities carried out utilizing these funds. Citizens should be provided with an appropriate address, phone number and times during which they may submit such complaints. Grantees should provide a written response to every citizen complaint within 15 working days of receipt of the complaint.

Regulatory Requirements

Grantees must comply with fair housing, nondiscrimination, labor standards, and environmental requirements applicable to the CDBG Program, as follows:

1. Fa

Recovery Needs:

ir Housing: Each grantee will be required to take steps to affirmatively further fair housing; and when gathering public input, planning and implementing housing related activities, will include participation by neighborhood organizations, community development organizations, social service organizations, community housing development organizations and members of each distinct affected neighborhood which might fall into the assistance category of low and moderate income communities.

2. Nondiscrimination: Each grantee will be required to adhere to the State's established policies which ensure that no person be excluded, denied benefits or subjected to discrimination on the basis of race, color, national origin, religion, sex, familial status, and/or mental handicap under any program funded in whole or in part by federal CDBG funds. Grantees will be required to document compliance with all nondiscrimination laws, executive orders, and regulations.

3. Labor Standards: Each grantee will be required to oversee compliance with Davis-Bacon labor standards and related laws and regulations. Regulations require all laborers and mechanics employed by contractors and subcontractors on CDBG funded or CDBG assisted public works construction contracts in excess of \$2,000, or residential construction or rehabilitation projects involving eight or more units be paid wages not less than those prescribed by the Department of Labor and in accordance with Davis Bacon Related Acts.

4. Environmental: Specific instructions concerning environmental requirements at (24 CFR Part 58) will be made available to all grantees. Some projects may be exempt from the environmental assessment process, but all grantees will be required to submit a Request for Release of Funds and Certification. Funds will not be released for expenditure until DLG is satisfied that the appropriate environmental review has been conducted. Grantees will not use CDBG disaster recovery funds for any activity in an area delineated as a special flood hazard area in FEMA's most current flood plain maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.

All suspected cases of fraud or abuse will be reported to the Auditor of Public Accounts. The Auditor will make a determination on further investigation.

Public Comment:

APPENDIX A: CITIZENS PARTICIPATION AND RESPONSE TO PUBLIC COMMENT

The Draft Disaster Action Plan was released for public comment on March 1, 2011. The public comment period for the document ran from March 1, 2011 through March 9, 2011. The Draft Plan was posted on the website of the Department for Local Government (www.dlg.ky.gov). The Draft Plan was also emailed to all Area Development Districts, cities and counties in Kentucky. DLG also requested cities and counties to respond to a disaster survey seeking comments about the types of projects needed to help them recovery from the disaster event. Over 50 responses were received which helped with the formulation of the Draft Action Plan.

The comments received by DLG and the response to the Draft Action Plan are as follows:

1). Comment from a party of the Red River Wastewater Authority to assist with the partial funding of the construction of a regional wastewater treatment facility.

DLG response-this project may be eligible, however, additional review would be necessary.

2). Comment received for an Area Development District asking about the 2008 disaster funds and wanting some ideas to give their local government representatives about eligible projects.

DLG response-eligible projects consists of the following examples: infrastructure restoration, job retention, economic revitalization, high



capacity permanent generators, demolition, individual housing restoration, housing relocation, flood proofing, financial assistance, and homeownership.

3). Comment received from the Logan County Judge/Executive about the possibility of funding the construction of a road bridge to provide for the safe passage of residents cut-off by flood waters.

DLG response-this appears to be an eligible activity for the disaster funds and the county is encouraged to submit an application for assistance when the funds are made available.

4). Comment received from the Lyon County Judge/Executive about the possibility of receiving funding for the elevation of a county road that is continually covered by flood waters.

DLG response-this appears to be an eligible activity for the disaster funds and the county is encouraged to submit an application for assistance when the funds are made available.

APPENDIX B: CERTIFICATINS FOR STATE GOVERNMENTS, WAIVER AND ALTERNATIVE REQUIREMENTS

In accordance with the applicable statutes and the regulations governing the consolidated plan and the Supplemental Appropriations Act, 2010, the State certifies that:

a). The state certifies that it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the state, take appropriate actions to overcome the effectsf

Public Comment:

n this regard. (See 24 CFR 570.487 (b)(2).)

b). The state certifies that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the CDBG program.

c). The state certifies its compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms, if required by Part 87.

d). The state certifies that the Action Plan for Disaster Recovery is authorized under state law and that the state and any entity or entities designated by the state, possesses the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations and this Notice.

e). The state certifies that it will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR Part 24, except where waivers or alternative requirements are provided for this grant.

f). The state certifies that it will comply with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 17001u), and implementing regulations at 24 CFR Part 135.

g). The state certifies that it is following a detailed citizens participation plan that satisfies the requirements of 24 CFR 91.105 or 91.115, as applicable (except as provided for in notices providing waivers and alternative requirements for this grant), and that each unit of general local government receiving assistance from the state is following a detailed citizen participation plan that satisfies the requirements of 24 CFR 570.486 (except as provided for in notices providing waivers and alternative requirements for this grant).

h). The state certifies that it has consulted with affected units of local government in counties designated in covered major disaster declarations in the nonentitlement, entitlement and tribal areas of the state in determining the method of distribution of funding;

i). The state certifies that it is complying with each of the following criteria:

1) Funds will be used solely for necessary expenses related to disaster relief, long-term recovery and restoration of infrastructure, housing and economic revitalization in areas affected by sever storms and flooding that occurred between March and May, 2010, for which the President declared a major disaster covering an entire State or States with more that 20 counties declared major disasters, under title IV of the Robert T. Stafford Disaster Relief and Emergency Assisance Act (42 U.S.C. 5121 et seq.).

2) With respect to activities expected to be assisted with CDBG disaster recovery funds, the action plan has been developed so as to give the maximum feasible priority to activities that will benefit low and moderate income families.

3) The aggregate use of CDBG disaster recovery funds shall princpally benefit low and moderate income families in a manner that ensures that least 50 percent of the amount is expnddfraciistabnftshprosdnrnteesgaeprod&t&ma;t&ma;t&mlt&ma;;pg/pg;/&a;pt;/&m;

Public Comment:

p;t;
4) The state will not attempt to recover any capital costs of public improvements assisted with CDBG disaster recovery grant funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless (A) disaster recovery grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other that under this title; or (B) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient CDBG funds (in any form) to comply with the requirements of clause (A).



j). The state certifies that the grant will be conducted and administered in conformity with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.

k). The state certifies it has and will require units of general local government that receive grant funds certify they have adopted and are enforcing:

- 1) A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
- 2) A policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.

l). The state certifies that each state grant recipient or administering entity has the capacity to carry out disaster recovery activities in a timely manner, or the state has a plan to increase the capacity of any state grant recipient or administering entity that lacks such capacity.

m). The state certifies that it will not use CDBG disaster recovery funds for any activity in an area delineated as a special flood hazard area in FEMA's most current floodplain maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.

n). The state certifies that it will comply with applicable laws.

o). The state certifies that it will distribute funds without regard to a local government or Indian tribe status under any other CDBG program.

 Signature of Authorized Official

Public Comment:

Date

Title

LEGAL NOTICE OF FILING OF
THE DISASTER RECOVERY ACTION PLAN

The Office of Federal Grants, Department for Local Government (DLG) wish to encourage citizens to participate in the development of the Commonwealth of Kentucky's Disaster Recovery Action Plan. DLG is providing the opportunity for citizens to comment of the draft plan, which will be submitted to the U.S. Department of Housing and Urban Development (HUD) on or about March 11, 2011. The Action Plan will set forth the method of distribution of funds for the HUD funded program.

Members of the public, especially persons of low to moderate income, are invited to review the draft plan prior to its submission, from Tuesday, March 1, 2011 through Wednesday, March 9, 2011, also in person during normal business hours of 8:00 a.m. to 4:30 p.m. EST Monday through Friday, at the Department for Local Government 1024 Capital Center Drive Suite 340, Frankfort, Kentucky 40601. The draft plan will also be available on the DLG website (www.dlg.ky.gov).

Written comments are invited from Tuesday, March 1, 2011 through Wednesday, March 9, 2011 at the following address:

2010 Disaster Recovery Action Plan
 Office of Federal Grants
 Department for Local Government
 1024 Capital Center Drive, Suite 340

Public Comment:

Frankfort, Kentucky 40601

Additional information may also be obtained from Michael Hale by email Mike.Hale@ky.gov or by phone 1-800-346-5606.



The Commonwealth of Kentucky does not discriminate on the basis of race, color, national origin, sex, age, religion or disability, and provides upon request reasonable accommodation, including auxiliary aids and services, to afford an individual with a disability an equal opportunity to participate in all services, programs and activities. The TDD number for the hearing impaired is 1/800-648-6056.

DEPARTMENT FOR LOCAL GOVERNMENT WILL ACCEPT PUBLIC COMMENTS ON THE AMENDED (#1) COMMONWEALTH OF KENTUCKY
 ACTION PLAN FOR CDBG 2010 DISASTER RECOVERY FUNDS
 MAY 5 &ndash MAY 12, 2011

The draft Commonwealth of Kentucky Amended Action Plan for CDBG 2010 Disaster Recovery Funds is available for public review and comment. To view click here _____, the proposed revisions are highlighted in yellow.

The Commonwealth of Kentucky Amended Action Plan (#1) for CDBG 2010 Disaster Recovery Funds will be available for public review and comment May 5 &ndash May 12, 2011. Written comments will be accepted, addressed and, where applicable, incorporated into the document. A summary of comments will be submitted to HUD.

Those who are hearing-impaired may call TTY 800-648-6056. Please send written comments to Michael Hale, DLG, 1024 Capital Center Drive, Suite 340, Frankfort, Kentucky 40601, by email to mike.hale@ky.gov, or by facsimile at 502-573-1519. You may also contact Mr. Hale at (502)573-2382 ext. 262. Equal Housing and Equal Employment Opportunity

The grant application deadline was extended until August 15, 2011. A number of the potential grantees asked for an extension of the grant application deadline to complete surveys and qualify their projects for the Low/Mod income national objective.

DEPARTMENT FOR LOCAL GOVERNMENT WILL ACCEPT PUBLIC COMMENTS ON THE AMENDED (#2) COMMONWEALTH OF KENTUCKY
 >ACTION PLAN FOR CDBG 2010 DISASTER RECOVERY FUNDS
 >JANUARY 5 &ndash JANUARY 13, 2012

The draft Commonwealth of Kentucky Amended Action Plan for CDBG 2010 Disaster Recovery Funds is available for public review and comment. To view click here _____, the proposed revisions are highlighted in yellow.

>The Commonwealth of Kentucky Amended Action Plan (#2) for CDBG 2010 Disaster Recovery Funds will be available for public review and comment January 5 &ndash January 13, 2012. Written comments will be accepted, addressed and, where applicable, incorporated into the document. A summary of comments will be submitted to HUD.

Those who are hearing-impaired may call TTY 800-648-6056. Please send written comments to Michael Hale, DLG, 1024 Capital Center Drive, Suite 340, Frankfort, Kentucky 40601, by email to mike.hale@ky.gov, or by facsimile at 502-573-1519. You may also contact Mr.Hale at (502)573-2382 ext. 262. Equal Housing and Equal Employment Opportunity

Public Comment:

THE DEPARTMENT FOR LOCAL GOVERNMENT DID NOT RECEIVE ANY COMMENTS ABOUT THE PUBLISHED AMENDED #2 ACTION PLAN.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$12,752,874.00
Total Budget	(\$246,036.00)	\$12,752,874.00
Total Obligated	(\$246,036.00)	\$12,750,836.00
Total Funds Drawdown	\$1,448,833.10	\$10,321,475.49
Program Funds Drawdown	\$1,448,833.10	\$10,321,475.49
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,448,563.10	\$10,321,205.49
Match Contributed	\$0.00	\$9,923,000.00



Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		77.05%
Overall Benefit Percentage (Actual)		78.35%
Minimum Non-Federal Match	\$0.00	\$9,923,000.00
Limit on Public Services	\$1,950,000.00	\$0.00
Limit on Admin/Planning	\$1,300,000.00	\$632,638.49
Limit on State Admin	\$0.00	\$338,837.49

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

Overall Progress Narrative:

Morhead 11D-025 draw #1 and Notice of Completion Funds were used to repay an emergency loan through KIA for WWTP replacement; Hardin 11D-033 draw #11 and final close out issued. Inspected and cleaned 8,148 LF of sewer, Cured-in-place rehabilitation of 1,861 LF of 10' & 2,944 LF of 8" lines and various manhole repairs; union Co 11D-035 Draw #1 purchased and installation of pump stations; Edmonton 11D-041 draw # 11; Nicholasville 11D-047 draw # 11; state administration #14 for Jan.-Mar. 2015 and local administration for Morehead 11D-025, Hardin 11D-033, Nicholasville 11D-047 and Tompkinsville 11D-074

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
DI-10-11D-000-001, State Administration	\$687.10	\$390,000.00	\$202,601.49
DI-10-11D-000-002, Local Administration	\$13,269.00	\$210,343.00	\$136,236.00
DI-10-11D-000-003, Community Development	\$1,434,877.00	\$12,399,657.00	\$9,982,638.00



Activities

Project # / Title: DI-10-11D-000-001 / State Administration

Grantee Activity Number: DI-10-11D-000-001

Activity Title: State Administration

Activity Category:

Administration

Project Number:

DI-10-11D-000-001

Projected Start Date:

06/01/2011

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

State Administration

Projected End Date:

06/30/2017

Completed Activity Actual End Date:

Responsible Organization:

Commonwealth of KY-Dept. for Local Government

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2015

N/A

To Date

\$390,000.00

Total Budget

\$0.00

\$390,000.00

Total Obligated

\$0.00

\$390,000.00

Total Funds Drawdown

\$687.10

\$202,601.49

Program Funds Drawdown

\$687.10

\$202,601.49

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$687.10

\$202,601.49

 Commonwealth of KY-Dept. for Local Government

\$687.10

\$202,601.49

Match Contributed

\$0.00

\$0.00

Activity Description:

Provide administration and technical assistance to local communities for the implementation of disaster recovery projects.

Location Description:

Frankfort, Kentucky

Activity Progress Narrative:

state administration #14 for Jan.-Mar. 2015



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: DI-10-11D-000-002 / Local Administration

Grantee Activity Number: DI-10-11D-000-002

Activity Title: Local Administration

Activity Category:

Administration

Project Number:

DI-10-11D-000-002

Projected Start Date:

06/01/2011

Benefit Type:

()

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Local Administration

Projected End Date:

06/30/2017

Completed Activity Actual End Date:

Responsible Organization:

Commonwealth of KY-Dept. for Local Government

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2015

N/A

To Date

\$210,343.00

Total Budget

\$0.00

\$210,343.00

Total Obligated

\$0.00

\$210,343.00

Total Funds Drawdown

\$13,269.00

\$136,236.00

Program Funds Drawdown

\$13,269.00

\$136,236.00



Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$13,269.00	\$136,236.00
Commonwealth of KY-Dept. for Local Government	\$13,269.00	\$136,236.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Provide for the local administration of the projects

Location Description:

Various local communities in the Commonwealth of Kentucky.

Activity Progress Narrative:

local administration for Morehead 11D-025, Hardin 11D-033, Nicholasville 11D-047 and Tompkinsville 11D-074

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: DI-10-11D-000-003 / Community Development

Grantee Activity Number: DI-10-11D-025-003

Activity Title: Morehead-reconstruction of wastewater plant

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

Project Title:



DI-10-11D-000-003

Community Development

Projected Start Date:

05/01/2012

Projected End Date:

06/30/2015

Benefit Type:

Area ()

Completed Activity Actual End Date:

03/12/2015

National Objective:

Urgent Need

Responsible Organization:

City of Morehead

Overall

Total Projected Budget from All Sources

Jan 1 thru Mar 31, 2015

N/A

To Date

\$337,964.00

Total Budget

(\$446,036.00)

\$337,964.00

Total Obligated

(\$446,036.00)

\$337,964.00

Total Funds Drawdown

\$337,964.00

\$337,964.00

Program Funds Drawdown

\$337,964.00

\$337,964.00

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$337,694.00

\$337,694.00

City of Morehead

\$337,694.00

\$337,694.00

Match Contributed

\$0.00

\$0.00

Activity Description:

This project proposes to rebuild the Morehead wastewater treatment Plant, which was severely damaged during the flood event. Components include replacment and reconditioning of electrical equipment and wiring. Clean exisiting conduit and recondition blower 1,2 and 3 and recondition of influent and effluent pumps, flow meters,thickeners, and clarifiers.

Location Description:

The plant is located at 135 South Wilson Avenue, Morehead, Ky.

Activity Progress Narrative:

Draw #1 and issued a Notice of Completion. Funds were used to repay an emergency loan through KIA for WWTP replacement

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	1	1/1



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-033-003
Activity Title:	Hardin-Sewer system rehab

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

DI-10-11D-000-003

Project Title:

Community Development

Projected Start Date:

09/28/2012

Projected End Date:

12/31/2014

Benefit Type:

Area ()

Completed Activity Actual End Date:

03/13/2015

National Objective:

Low/Mod

Responsible Organization:

City of Hardin

Overall	Jan 1 thru Mar 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$294,300.00
Total Budget	\$0.00	\$294,300.00
Total Obligated	\$0.00	\$294,300.00
Total Funds Drawdown	\$3,144.00	\$293,178.00
Program Funds Drawdown	\$3,144.00	\$293,178.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$3,144.00	\$293,178.00
City of Hardin	\$3,144.00	\$293,178.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood event of 2010 created damages and problems to an aging waste water collection and treatment system and forced heavy sewage into streets and around homes. To recover from the disaster and bring the system up to a normal operations the city proposes to identify, the sections of the system that need to be replaced, repaired and cleaned out.

Location Description:

In the City of Hardin.

Activity Progress Narrative:

draw #11 and final close out issued. Inspected and cleaned 8,148 LF of sewer, Cured-in-place rehabilitation of 1,861 LF of 10 ' & 2,944 Lf of 8" lines and various manhole repairs

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	12953	12953/6150



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-035-003

Activity Title: Union Co.-Levee flooding

Activity Category:

Rehabilitation/reconstruction of a public improvement

Project Number:

DI-10-11D-000-003

Projected Start Date:

08/30/2012

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

08/30/2013

Completed Activity Actual End Date:

Responsible Organization:

Union County Fiscal Court

Overall	Jan 1 thru Mar 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$630,000.00
Total Budget	\$200,000.00	\$630,000.00
Total Obligated	\$200,000.00	\$630,000.00
Total Funds Drawdown	\$630,000.00	\$630,000.00
Program Funds Drawdown	\$630,000.00	\$630,000.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$630,000.00	\$630,000.00
Union County Fiscal Court	\$630,000.00	\$630,000.00
Match Contributed	\$0.00	\$0.00

Activity Description:

During the May 2010 flooding event the pumps used to pump the flood waters at the base of the levee failed and required mandatory evacuation of those areas in Uniontown and Strugis. This project will install six vertical turbine pumps to move the flood waters that the failed, sporadic and inefficient operating pumps are unable to do.

Location Description:

Levees in around the cities of Uniontown and Strugis in Union County, Kentucky.

Activity Progress Narrative:

Draw #1 purchased and installation of pump stations

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-041-003
Activity Title: Edmonton-Sewer rehab

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

DI-10-11D-000-003

Projected Start Date:

09/28/2012

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

06/30/2015

Completed Activity Actual End Date:

Responsible Organization:

City of Edmonton

Overall	Jan 1 thru Mar 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$980,700.00
Total Budget	\$0.00	\$980,700.00
Total Obligated	\$0.00	\$980,700.00
Total Funds Drawdown	\$46,713.00	\$752,127.00
Program Funds Drawdown	\$46,713.00	\$752,127.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$46,713.00	\$752,127.00
City of Edmonton	\$46,713.00	\$752,127.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood event of 2010 completely overwhelmed and created damages to the City of Edmonton's waste water treatment facility. This project will involve the replacement of 7,300 LF of 10" and 9,600 LF of 8" gravity sewers, rehabilitation of 10,400 LF of 10" and 8" gravity sewers, rehabilitation of 135 manholes and pump station replacement and replacement/rehabilitation of equipment at the wastewater treatment plant.

Location Description:

In the City of Edmonton.

Activity Progress Narrative:

draw # 11

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-047-003

Activity Title: Nicholasville-Storm water improvements

Activity Category:

Rehabilitation/reconstruction of a public improvement

Project Number:

DI-10-11D-000-003

Projected Start Date:

10/01/2012

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

06/30/2015

Completed Activity Actual End Date:

Responsible Organization:

City of Nicholasville

Overall	Jan 1 thru Mar 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$1,154,715.00
Total Budget	\$0.00	\$1,154,715.00
Total Obligated	\$0.00	\$1,154,715.00
Total Funds Drawdown	\$417,056.00	\$680,459.00
Program Funds Drawdown	\$417,056.00	\$680,459.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$417,056.00	\$680,459.00
City of Nicholasville	\$417,056.00	\$680,459.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The City of Nicholasville and the Jessamine County Fiscal Court propose to build storm water control facility(dam) that would assist the community to recovery from the devastation of the flood of May 2010. Commerce was halted in the downtown area because residents could not access the stores, businesses, banks or local government services due to closed streets and evacuated flooded buildings.

Location Description:

In the Riney B Park in the City of Nicholasville, Ky.

Activity Progress Narrative:

draw #2

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-074-003
Activity Title:	Tompkinsville-Sewer System Rehab

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

DI-10-11D-000-003

Projected Start Date:

08/01/2011

Benefit Type:

Area ()

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

06/30/2015

Completed Activity Actual End Date:

Responsible Organization:

City of Tompkinsville

Overall	Jan 1 thru Mar 31, 2015	To Date
Total Projected Budget from All Sources	N/A	\$490,000.00
Total Budget	\$0.00	\$490,000.00
Total Obligated	\$0.00	\$490,000.00
Total Funds Drawdown	\$0.00	\$480,760.00
Program Funds Drawdown	\$0.00	\$480,760.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$480,760.00
City of Tompkinsville	\$0.00	\$480,760.00
Match Contributed	\$0.00	\$0.00

Activity Description:

This project consists of the design and construction of improvements to the city's wastewater system that occurs during periods of extreme rain events. Specifically, the improvements include the renovation of one existing lift station and elimination of two others. Rebuilding 900 Lf of 8" elevated sewer line, replacement of 1700 LF of 8" line and 6900 LF of new 8" gravity line to replace the two lift stations being eliminated.

Location Description:

The City of Tompkinsville.

Activity Progress Narrative:

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Monitoring, Audit, and Technical Assistance

Event Type	This Report Period	To Date
Monitoring, Audits, and Technical Assistance	0	15
Monitoring Visits	0	17
Audit Visits	0	0
Technical Assistance Visits	0	2
Monitoring/Technical Assistance Visits	0	19
Report/Letter Issued	0	15