

Grantee: Kentucky

Grant: B-10-DF-21-0001

April 1, 2014 thru June 30, 2014 Performance Report



Grant Number:

B-10-DF-21-0001

Obligation Date:**Award Date:**

11/10/2010

Grantee Name:

Kentucky

Contract End Date:**Review by HUD:**

Submitted - Await for Review

LOCCS Authorized Amount:

\$13,000,000.00

Grant Status:

Active

QPR Contact:

Jennifer Peters

Estimated PI/RL Funds:**Total Budget:**

\$13,000,000.00

Disasters:**Declaration Number**

FEMA-1912-KY

Narratives**Disaster Damage:**

COMMONWEALTH OF KENTUCKY
 >AMENDED ACTION PLAN (#2) FOR
 >CDBG 2010 DISASTER RECOVERY FUNDS

>U.S. Department of Housing and Urban Development
 > Docket No. FR-5452-N-01
 >Federal Register: November 10, 2010 (Volume 75, Number 217)

Steven L. Beshear, Governor

>Tony Wilder, Commissioner

Prepared by:

Office of Federal Grants
 >Department for Local Government
 >1024 Capital Center Drive, Suite 340
 >Frankfort, Kentucky 40601

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Summary of Changes

After consultation with HUD and local governments, the following changes have been made:

Page 4, Paragraph 3 was added: The Department for Local Government requested applications from affected local governments for the \$12,350,000 allocation. However, the total amount requested did not equal the total allocation; therefore DLG has a balance left to distribute. DLG worked with applicants to determine whether more funds were needed in excess of the previously set \$300,000 maximum award. Many applicants indicated that additional funds were needed to complete the project that they had in back to comply with the \$300,000 maximum. In addition, DLG will also reevaluate current CDBG projects and applications to see if they were in response to the 2010 flooding. If the projects fit within the DRI parameters, the DRI allocation will be used to fund these CDBG projects. If additional DRI funds remain after increasing the grant maximum for current DRI applicants and using DRI funds for current eligible CDBG projects and applications, then DLG will call for additional project applications from local governments using its normal procedure.

Page 5, Paragraph 3 was added: On October 28th, 2011, DLG solicited feedback from current 2010 DRI applicants asking if additional funds were needed to complete the projects, and if so, how much. This feedback assisted us in determining changes to the Action Plan.

Page 8, Paragraph 5, the following sentence was deleted: The maximum grant amount per jurisdiction was omitted.

Page 17, a new public comment period was referenced for the amended (#2) action plan.

INTRODUCTION

The Commonwealth of Kentucky through the Department for Local Government (DLG) is required to publish an Action Plan for Disaster Recovery (Action Plan) that describes the proposed use of the U.S. Department of Housing and Urban Development (HUD) Community Development Block Grant (CDBG) funding associated with the Supplemental Appropriations Act, 2010 (Public Law 111-212, approved July 29, 2010) for disaster relief, long term recovery, and restoration of infrastructure, housing, and economic revitalization in the areas affected by severe storms and flooding from May 1, 2010 through June 1, 2010.

This document will describe:

- >• The citizen participation process used to develop the Action Plan;
- >• Eligible areas and applicants, methodology used to distribute the funds;
- >• Activities for which funding may be used; and
- >• Grant administration standards.

This Action Plan will be used by the state to guide the distribution of CDBG funds for the necessary expenses related to disaster relief, long-term recovery and restoration of infrastructure, housing and economic revitalization in Presidential declared disaster areas, as directed by Public Law 111-212 and HUD. This Action Plan is in response to the U.S. Department of Housing and Urban Development's Notice of Funding Availability provided in Federal Register/Volume 75, No. 217, Docket No. FR-5452-N-01. These funds may not be used for activities reimbursable by, or for which funds are made available by, the Federal Emergency Management Agency (FEMA), the Army Corps of Engineers, however, these funds may be used as matching funds for those funding sources.

This Action Plan will be used by the state to guide the distribution of \$12,350,000 (net of administration) CDBG funds for the necessary expenses related to disaster relief, long-term recovery and restoration of infrastructure, housing and economic revitalization in Presidential declared disaster areas.

The Department for Local Government requested applications from affected local governments for the \$12,350,000 allocation. However, the total amount requested did not equal the total allocation; therefore DLG has a balance left to distribute. DLG worked with applicants to determine whether more funds were needed in excess of the previously set \$300,000 maximum award. Many applicants indicated that additional funds were needed to complete the project that they had initially applied for and after consulting with applicants, it became apparent that many projects were scaled back to comply with the \$300,000 maximum. In addition, DLG will also reevaluate current CDBG projects and applications to see if they were in response to the 2010 flooding. If the projects fit within the DRI parameters, the DRI allocation will be used to fund these CDBG projects. If additional DRI funds remain after increasing the grant maximum for current DRI applicants and using DRI funds for current eligible CDBG projects and applications, then DLG will call for additional project applications from local governments using its normal procedure.

THE EFFECT OF THE DISASTERS AND KENTUCKY'S RECOVERY NEEDS

The magnitude of the effects of the 2010 disaster on the Commonwealth has been among the worst in its history. Eighty-three (83) Kentucky counties out of 120 have been included in this presidentially declared disaster.

DR-1912, a major disaster declaration, provides funding for assistance in 83 counties as a result of strong thunderstorms which produced tornadoes, floods, hail, mudslides and landslides, which resulted in over \$74,819,677 of unmet needs.

FEDERAL AND STATE RESPONSES TO DATE

From the disaster incident (DR-1912), the federal and state governmental agencies worked together to provide funds to the areas that desperately needed assistance. Their cooperation has resulted in the timely provision of assistance to local governments and individuals in the impacted areas. FEMA has provided over \$20 million in individual assistance and over \$18 million in public assistance, which was matched with funds from the Commonwealth of Kentucky.

FEMA's response to the disasters has been met by a renewed and energetic response by Kentucky's Emergency Management agency team. There is a new emphasis in getting funds to local units of government and individuals thereby setting in motion efforts for a quick recovery.

CONSULTATION WITH LOCAL GOVERNMENTS

On February 14th, 2011, DLG solicited feedback from local units of government, including the HUD Entitlements, in the 83 county area affected by the 2010 disasters by requesting responses to a disaster project survey. Area Development Districts were also asked for their



be used by the Office of Federal Grants for expenses associated with administering the 2010 CDBG Disaster Recovery Program, including direct personnel services and fringe benefits of DLG CDBG staff, direct and indirect expenses incurred in the proper administration of the state's program and monitoring activities respective to Disaster Recovery grants awarded to units of local government. The additional 2% will be the maximum allowable grantee administrative cost per project.

Allocation: \$650,000

>ANTI-DISPLACEMENT AND RELOCATION

Recipients implementing activities identified in this Action Plan are expected to ensure the assistance and protections afforded to any persons or entities under the Uniform Relocation Assistance and Real Property Acquisition Policies (URA) of 1970, as amended and section 104 (d) of the Housing and Community Development Act of 1974 (HCD) as amended.

>HIGH QUALITY, DURABILITY AND ENERGY EFFICIENCY

Any housing activity involving the construction and rehabilitation of multi-family and single-family dwellings must meet all building codes and standards adopted and enforced by the Commonwealth of Kentucky as well as any local ordinances that exceed the State codes and standards. In addition, on a cost-effective basis, considering construction and operating costs over the life cycle of the structure. Efficiency may be demonstrated through design based on LEED, Green Globes, Energy Star and/or other comparable guidelines and rating systems. Historic, aesthetic and local sourced materials shall be afforded value in this analysis.

PROVISION OF ADEQUATE, FLOOD-RESISTANT HOUSING FOR ALL INCOME GROUPS THAT LIVED IN THE DISASTER IMPACTED AREAS

The Commonwealth of Kentucky will encourage the grant recipients to provide information about affordable housing choice available from the various housing agencies such as the KY Housing Corporation, Public Housing Authorities and federal agencies such as HUD, Rural Development and FEMA to the residents affected by the disaster using all forms of media. Many impacted communities have convened a long term recovery committee. An integral responsibility of these committees is developing strategic solutions to address unmet housing needs. Local Continuums of Care have been actively engaged in the long term recovery committees to ensure that the homeless or those at risk of homelessness due to flooding have adequate housing and support services.

ACTION PLAN AMENDMENTS

The following events would require a substantial amendment to the Action Plan:

• Addition or deletion of any allowable activity described in the Plan;

• Change in the planned beneficiaries.

Substantial amendments to the Disaster Recovery Action Plan, if any will be published on the DLG website and emailed to the affected units of local government and will provide for a public comment period. All comments will be considered.

MONITORING

DLG will utilize time-tested Commonwealth of Kentucky CDBG Program monitoring policies and procedures for ensuring compliance with federal guidelines. These policies and procedures are consistent with those used by HUD to monitor state-administered and entitlement program. In addition, the Auditor of Public Accounts and HUD frequently perform monitoring or auditing to ensure that DLG is in compliance with state and federal rules and regulations and to assist the state in providing guidance to CDBG recipients. The CDBG Program responds to these independent internal audit functions by modifying internal and external administration of the funding. DLG may conduct at least one monitoring visit for every grant project that receives CDBG Disaster Recovery funds, unless staff determines more visits are necessary. The on-site visit is a structured review conducted by DLG staff at locations where project activities are being carried out and project records are being maintained. These visits combine on-site technical assistance with compliance review. The grantee must ensure that all records relating to the award are available at DLG's monitoring.

DLG will use its existing monitoring process to ensure that all contracts funded under this disaster recovery allocation are carried out in accordance with federal and state laws, rules and regulations.

DLG will develop a revised monitoring checklist to include a set of questions designed to address the non-duplication of benefits issue.

STEP THE COMMONWEALTH OF KENTUCKY TO AVOID OMISSIONS AND ERRORS, USNM SMNEMT/Amisrion Safi & Associates, Inc. Federal Grants will provide technical assistance to local government grant recipients and undertake administrative and monitoring activities to ensure compliance with applicable federal requirements.

National Objective

All activities must meet one of the three national objectives set out in the Housing and Community Development Act (address slum and blight, urgent need or primarily benefit low and moderate income people). At least 50% of the Disaster Recovery funding will be used for activities that meet the national objective of primarily benefiting persons of low and moderate (LMI) income.

Administrative Costs

Grantees are strongly encouraged to minimize their administrative costs in order for program activities to be maximized. To promote this goal, the amount of allowable grantee administrative costs will be capped at 2 percent (2%) per project.

Program Changes through Contract Amendments

All grantees will be encouraged to carefully plan projects that meet the stated requirements and to specify activities, associated costs and proposed accomplishments and beneficiaries in order to reduce the need for amending contracts. Grantees must contact DLG prior to requesting an amendment or contract modification that affects the budget, activities, beneficiaries or time frame for accomplishing the proposed activities. Substantial amendments may be cause to review the entire application to determine if the project is meeting its stated goals and its timelines.

Documentation

The use of the disaster funding is contingent upon certain requirements, both the state and local government will be expected to certify that these requirements will be met or carried out. The chief elected official authorized by the local governing body to apply for funds will be required to certify in writing that the grant will be carried out in accordance with applicable laws and regulations. In addition, local governments will be required to submit or maintain documentation that fully supports the application submitted to the State. Failure to document project need as a result of the disaster(s), or to mitigate the effects of future disasters, will result in an application being declared ineligible. If this discovery is made after a project award, the contract with the local government will be terminated and the local government will have to repay any funds received to that point.

Reporting

Each grant recipient must report as to the status of activities undertaken and the funds drawn. This form will be provided by DLG. Additional reporting requirements (i.e., annual audits, contractual obligations and minority business enterprise reports, as applicable) will be specified in the grant agreement.



>Citizen Complaints

All grantees should establish procedures for responding to citizens' complaints regarding activities carried out utilizing these funds. Citizens should be provided with an appropriate address, phone number and times during which they may submit such complaints. Grantees should provide a written response to every citizen complaint within 15 working days of receipt of the complaint.

Regulatory Requirements

Grantees must comply with fair housing, nondiscrimination, labor standards, and environmental requirements applicable to the CDBG Program, as follows:

1. Fair Housing: Each grantee will be required to take steps to affirmatively further fair housing; and when gathering public input, planning and implementing housing related activities, will include participation by neighborhood organizations, community development organizations, social service organizations, community housing development organizations and members of each distinct affected neighborhood which might fall into the assistance category of low and moderate income communities.
2. Nondiscrimination: Each grantee will be required to adhere to the State's established policies which ensure that no person be excluded, denied benefits or subjected to discrimination on the basis of race, color, national origin, religion, sex, familial status, and/or mental handicap under any program funded in whole or in part by federal CDBG funds. Grantees will be required to document compliance with all nondiscrimination laws, executive orders, and regulations.
3. Labor Standards: Each grantee will be required to oversee compliance with Davis-Bacon labor standards and related laws and regulations. Regulations require all laborers and mechanics employed by contractors and subcontractors on CDBG funded or CDBG assisted public works construction contracts in excess of \$2,000, or residential construction or rehabilitation projects involving eight or more units be paid wages not less than those prescribed by the Department of Labor and in accordance with Davis Bacon Related Acts.
4. Environmental: Specific instructions concerning environmental requirements at (24 CFR Part 58) will be made available to all grantees. Some projects may be exempt from the environmental assessment process, but all grantees will be required to submit a Request for Release of Funds and Certification. Funds will not be released for expenditure until DLG is satisfied that the appropriate environmental review has been conducted. Grantees will not use CDBG disaster recovery funds for any activity in an area delineated as a special flood hazard area in FEMA's most current flood plain maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.

All suspected cases of fraud or abuse will be reported to the Auditor of Public Accounts. The Auditor will make a determination on further investigation.

Public Comment:

APPENDIX A: CITIZENS PARTICIPATION AND RESPONSE TO PUBLIC COMMENT

The Draft Disaster Action Plan was released for public comment on March 1, 2011. The public comment period for the document ran from March 1, 2011 through March 9, 2011. The Draft Plan was posted on the website of the Department for Local Government (www.dlg.ky.gov). The Draft Plan was also emailed to all Area Development Districts, cities and counties in Kentucky. DLG also requested cities and counties to respond to a disaster survey seeking comments about the types of projects needed to help them recovery from the disaster event. Over 50 responses were received which helped with the formulation of the Draft Action Plan.

The comments received by DLG and the response to the Draft Action Plan are as follows:

- 1). Comment from a party of the Red River Wastewater Authority to assist with the partial funding of the construction of a regional wastewater treatment facility.
DLG response-this project may be eligible, however, additional review would be necessary.
- 2). Comment received for an Area Development District asking about the 2008 disaster funds and wanting some ideas to give their local government representatives about eligible projects.
DLG response-eligible projects consists of the following examples: infrastructure restoration, job retention, economic revitalization, high capacity permanent generators, demolition, individual housing restoration, housing relocation, flood proofing, financial assistance, and homeownership.
- 3). Comment received from the Logan County Judge/Executive about the possibility of funding the construction of a road bridge to provide for the safe passage of residents cut-off by flood waters.
DLG response-this appears to be an eligible activity for the disaster funds and the county is encouraged to submit an application for assistance when the funds are made available.
- 4). Comment received from the Lyon County Judge/Executive about the possibility of receiving funding for the elevation of a county road that is continually covered by flood waters.
DLG response-this appears to be an eligible activity for the disaster funds and the county is encouraged to submit an application for assistance when the funds are made available.

APPENDIX B: CERTIFICATINS FOR STATE GOVERNMENTS, WAIVER AND ALTERNATIVE REQUIREMENTS

In accordance with the applicable statutes and the regulations governing the consolidated plan and the Supplemental Appropriations Act, 2010, the State certifies that:

- a). The state certifies that it will affirmatively further fair housing, which means that it will conduct an analysis to identify impediments to fair housing choice within the state, take appropriate actions to overcome the effects in this regard. (See 24 CFR 570.487 (b)(2).)



- b). The state certifies that it has in effect and is following a residential anti-displacement and relocation assistance plan in connection with any activity assisted with funding under the CDBG program.
- c). The state certifies its compliance with restrictions on lobbying required by 24 CFR Part 87, together with disclosure forms, if required by Part 87.
- d). The state certifies that the Action Plan for Disaster Recovery is authorized under state law and that the state and any entity or entities designated by the state, possesses the legal authority to carry out the program for which it is seeking funding, in accordance with applicable HUD regulations and this Notice.
- e). The state certifies that it will comply with the acquisition and relocation requirements of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, as amended, and implementing regulations at 49 CFR Part 24, except where waivers or alternative requirements are provided for this grant.
- f). The state certifies that it will comply with Section 3 of the Housing and Urban Development Act of 1968 (12 U.S.C. 17001u), and implementing regulations at 24 CFR Part 135.
- g). The state certifies that it is following a detailed citizens participation plan that satisfies the requirements of 24 CFR 91.105 or 91.115, as applicable (except as provided for in notices providing waivers and alternative requirements for this grant), and that each unit of general local government receiving assistance from the state is following a detailed citizen participation plan that satisfies the requirements of 24 CFR 570.486 (except as provided for in notices providing waivers and alternative requirements for this grant).
- h). The state certifies that it has consulted with affected units of local government in counties designated in covered major disaster declarations in the nonentitlement, entitlement and tribal areas of the state in determining the method of distribution of funding;
- i). The state certifies that it is complying with each of the following criteria:
- 1) Funds will be used solely for necessary expenses related to disaster relief, long-term recovery and restoration of infrastructure, housing and economic revitalization in areas affected by sever storms and flooding that occurred between March and May, 2010, for which the President declared a major disaster covering an entire State or States with more that 20 counties declared major disasters, under title IV of the Robert T. Stafford Disaster Relief and Emergency Assisance Act (42 U.S.C. 5121 et seq.).
 - 2) With respect to activities expected to be assisted with CDBG disaster recovery funds, the action plan has been developed so as to give the maximum feasible priority to activities that will benefit low and moderate income families.
 - 3) The aggregate use of CDBG disaster recovery funds shall principally benefit low and moderate income families in a manner that ensures that least 50 percent of the amount is expnddfraciiistabnftsuhprosrntrteesgaeprod&t&ma;t&mlt&ma;;/pg/pg;/&a;pt;/&m;ap;t;
 - 4) The state will not attempt to recover any capital costs of public improvements assisted with CDBG disaster recovery grant funds, by assessing any amount against properties owned and occupied by persons of low and moderate income, including any fee charged or assessment made as a condition of obtaining access to such public improvements, unless (A) disaster recovery grant funds are used to pay the proportion of such fee or assessment that relates to the capital costs of such public improvements that are financed from revenue sources other than under this title; or (B) for purposes of assessing any amount against properties owned and occupied by persons of moderate income, the grantee certifies to the Secretary that it lacks sufficient CDBG funds (in any form) to comply with the requirements of clause (A).
- j). The state certifies that the grant will be conducted and administered in conformity with Title VI of the Civil Rights Act of 1964 (42 U.S.C. 2000d) and the Fair Housing Act (42 U.S.C. 3601-3619) and implementing regulations.
- k). The state certifies it has and will require units of general local government that receive grant funds certify they have adopted and are enforcing:
- 1) A policy prohibiting the use of excessive force by law enforcement agencies within its jurisdiction against any individuals engaged in non-violent civil rights demonstrations; and
 - 2) A policy of enforcing applicable state and local laws against physically barring entrance to or exit from a facility or location that is the subject of such non-violent civil rights demonstrations within its jurisdiction.
- l). The state certifies that each state grant recipient or administering entity has the capacity to carry out disaster recovery activities in a timely manner, or the state has a plan to increase the capacity of any state grant recipient or administering entity that lacks such capacity.
- m). The state certifies that it will not use CDBG disaster recovery funds for any activity in an area delineated as a special flood hazard area in FEMA's most current floodplain maps unless it also ensures that the action is designed or modified to minimize harm to or within the floodplain in accordance with Executive Order 11988 and 24 CFR Part 55.
- n). The state certifies that it will comply with applicable laws.
- o). The state certifies that it will distribute funds without regard to a local government or Indian tribe status under any other CDBG program.

Signature of Authorized Official

&nbs;
&nb&m;bp;nb;nb;nb;&m;sp;nb;nb;nb;nb;nbs;&sp&m;amp;nsp;

Date



Title

LEGAL NOTICE OF FILING OF
THE DISASTER RECOVERY ACTION PLAN

The Office of Federal Grants, Department for Local Government (DLG) wish to encourage citizens to participate in the development of the Commonwealth of Kentucky's Disaster Recovery Action Plan. DLG is providing the opportunity for citizens to comment of the draft plan, which will be submitted to the U.S. Department of Housing and Urban Development (HUD) on or about March 11, 2011. The Action Plan will set forth the method of distribution of funds for the HUD funded program.

Members of the public, especially persons of low to moderate income, are invited to review the draft plan prior to its submission, from Tuesday, March 1, 2011 through Wednesday, March 9, 2011, also in person during normal business hours of 8:00 a.m. to 4:30 p.m. EST Monday through Friday, at the Department for Local Government 1024 Capital Center Drive Suite 340, Frankfort, Kentucky 40601. The draft plan will also be available on the DLG website (www.dlg.ky.gov).

Written comments are invited from Tuesday, March 1, 2011 through Wednesday, March 9, 2011 at the following address:

2010 Disaster Recovery Action Plan
Office of Federal Grants
Department for Local Government
1024 Capital Center Drive, Suite 340
Frankfort, Kentucky 40601

Additional information may also be obtained from Michael Hale by email Mike.Hale@ky.gov or by phone 1-800-346-5606.

The Commonwealth of Kentucky does not discriminate on the basis of race, color, national origin, sex, age, religion or disability, and provides upon request reasonable accommodation, including auxiliary aids and services, to afford an individual with a disability an equal opportunity to participate in all services, programs and activities. The TDD number for the hearing impaired is 1/800-648-6056.

DEPARTMENT FOR LOCAL GOVERNMENT WILL ACCEPT PUBLIC COMMENTS ON THE AMENDED (#1) COMMONWEALTH OF KENTUCKY ACTION PLAN FOR CDBG 2010 DISASTER RECOVERY FUNDS MAY 5 – MAY 12, 2011

The draft Commonwealth of Kentucky Amended Action Plan for CDBG 2010 Disaster Recovery Funds is available for public review and comment. To view click here _____, the proposed revisions are highlighted in yellow.

The Commonwealth of Kentucky Amended Action Plan (#1) for CDBG 2010 Disaster Recovery Funds will be available for public review and comment May 5 – May 12, 2011. Written comments will be accepted, addressed and, where applicable, incorporated into the document. A summary of comments will be submitted to HUD.

Those who are hearing-impaired may call TTY 800-648-6056. Please send written comments to Michael Hale, DLG, 1024 Capital Center Drive, Suite 340, Frankfort, Kentucky 40601, by email to mike.hale@ky.gov, or by facsimile at 502-573-1519. You may also contact Mr. Hale at (502)573-2382 ext. 262. Equal Housing and Equal Employment Opportunity

The grant application deadline was extended until August 15, 2011. A number of the potential grantees asked for an extension of the grant application deadline to complete surveys and qualify their projects for the Low/Mod income national objective.

DEPARTMENT FOR LOCAL GOVERNMENT WILL ACCEPT PUBLIC COMMENTS ON THE AMENDED (#2) COMMONWEALTH OF KENTUCKY

>ACTION PLAN FOR CDBG 2010 DISASTER RECOVERY FUNDS

>JANUARY 5 – JANUARY 13, 2012

The draft Commonwealth of Kentucky Amended Action Plan for CDBG 2010 Disaster Recovery Funds is available for public review and comment. To view click here _____, the proposed revisions are highlighted in yellow.

>The Commonwealth of Kentucky Amended Action Plan (#2) for CDBG 2010 Disaster Recovery Funds will be available for public review and comment January 5 – January 13, 2012. Written comments will be accepted, addressed and, where applicable, incorporated into the document. A summary of comments will be submitted to HUD.

Those who are hearing-impaired may call TTY 800-648-6056. Please send written comments to Michael Hale, DLG, 1024 Capital Center Drive, Suite 340, Frankfort, Kentucky 40601, by email to mike.hale@ky.gov, or by facsimile at 502-573-1519. You may also contact Mr. Hale at (502)573-2382 ext. 262. Equal Housing and Equal Employment Opportunity



THE DEPARTMENT FOR LOCAL GOVERNMENT DID NOT RECEIVE ANY COMMENTS ABOUT THE PUBLISHED AMENDED #2 ACTION PLAN.

Overall	This Report Period	To Date
Total Projected Budget from All Sources	N/A	\$12,998,910.00
Total Budget	\$0.00	\$12,998,910.00
Total Obligated	\$0.00	\$12,998,910.00
Total Funds Drawdown	\$1,236,958.05	\$6,677,884.85
Program Funds Drawdown	\$1,236,958.05	\$6,677,884.85
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$1,236,958.05	\$6,613,100.85
Match Contributed	\$0.00	\$0.00

Progress Toward Required Numeric Targets

Requirement	Required	To Date
Overall Benefit Percentage (Projected)		73.83%
Overall Benefit Percentage (Actual)		77.58%
Minimum Non-Federal Match	\$0.00	\$0.00
Limit on Public Services	\$1,950,000.00	\$0.00
Limit on Admin/Planning	\$1,300,000.00	\$580,092.85
Limit on State Admin	\$0.00	\$286,291.85

Progress Toward Activity Type Targets

Progress Toward National Objective Targets

Overall Progress Narrative:

Sate & local administration fees for Apr-June 2014. Columbia 11D-014 draw # 3 stream stabilization complete & retaining wall 50%. Clinton 11D-015 draw #2 engineers fee only. Stanton 11D-020 still awaiting PCR. Bonnieville 11D-024 draws 8 & 9 83% complete and should close in July. Benton 11D-031 They have ROF, still awaiting first draw and a preliminary monitoring has been completed. Hardin 11D-033 draw #10, working on completing the final work and need to resolve the monitoring findings from 4/16/14 monitoring. Greenup Co 11D-034 draw 3 completed, repaired 4 bridges for a total of 108 ft. Lewis Co 11D-037 Draw #1 project is underway with the acquisition of 2 properties, 2 surveys, 7 appraisals and paying of property & legal fees. Vanceburg 11D-038 project completed by replacing/repairing a total of 10,551 lf of pipe. Olive Hill 11D-039 project is complete. The study identified causes of flooding such as blockages and bottlenecks downstream and indicated probable options to correct the flooding issue. Options are constructing a floodwall to curtail the flooding or eliminating the blockages. Monroe Co 11D-040 construction is underway on the final bridge. Edmonton 11D-041 project is 45% complete and progressing nicely. Berry 11D-043 draws 12-16 and the project will close in July. Frankfort 11D-044 draw 2-5 and the project is nearing completion. Paris 11D-048 draws 3 & 4 and the project is complete with the reconstruction of 108 lf of



wall. Winchester 11D-049 design is 90% complete and negotiations are underway for 1 parcel

Project Summary

Project #, Project Title	This Report Period	To Date	
	Program Funds Drawdown	Project Funds Budgeted	Program Funds Drawdown
9999, Restricted Balance	\$0.00	\$0.00	\$0.00
DI-10-11D-000-001, State Administration	\$22,209.05	\$390,000.00	\$176,607.85
DI-10-11D-000-002, Local Administration	\$22,873.00	\$210,343.00	\$109,684.00
DI-10-11D-000-003, Community Development	\$1,191,876.00	\$12,399,657.00	\$6,391,593.00



Activities

Project # / Title: DI-10-11D-000-001 / State Administration

Grantee Activity Number: DI-10-11D-000-001

Activity Title: State Administration

Activity Category:

Administration

Project Number:

DI-10-11D-000-001

Projected Start Date:

06/01/2011

Benefit Type:

N/A

National Objective:

N/A

Activity Status:

Under Way

Project Title:

State Administration

Projected End Date:

06/30/2015

Completed Activity Actual End Date:

Responsible Organization:

Commonwealth of KY-Dept. for Local Government

Overall

Total Projected Budget from All Sources

Apr 1 thru Jun 30, 2014

N/A

To Date

\$390,000.00

Total Budget

\$0.00

\$390,000.00

Total Obligated

\$0.00

\$390,000.00

Total Funds Drawdown

\$22,209.05

\$176,607.85

Program Funds Drawdown

\$22,209.05

\$176,607.85

Program Income Drawdown

\$0.00

\$0.00

Program Income Received

\$0.00

\$0.00

Total Funds Expended

\$22,209.05

\$176,607.85

 Commonwealth of KY-Dept. for Local Government

\$22,209.05

\$176,607.85

Match Contributed

\$0.00

\$0.00

Activity Description:

Provide administration and technical assistance to local communities for the implementation of disaster recovery projects.

Location Description:

Frankfort, Kentucky

Activity Progress Narrative:

State administration fees for Apr-June 2014



Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: DI-10-11D-000-002 / Local Administration

Grantee Activity Number: DI-10-11D-000-002

Activity Title: Local Administration

Activity Category:

Administration

Project Number:

DI-10-11D-000-002

Projected Start Date:

06/01/2011

Benefit Type:

N/A

National Objective:

N/A

Activity Status:

Under Way

Project Title:

Local Administration

Projected End Date:

07/01/2014

Completed Activity Actual End Date:

Responsible Organization:

Commonwealth of KY-Dept. for Local Government

Overall

Total Projected Budget from All Sources

Apr 1 thru Jun 30, 2014

N/A

To Date

\$210,343.00

Total Budget

\$0.00

\$210,343.00

Total Obligated

\$0.00

\$210,343.00

Total Funds Drawdown

\$22,873.00

\$109,684.00

Program Funds Drawdown

\$22,873.00

\$109,684.00



Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$22,873.00	\$109,684.00
Commonwealth of KY-Dept. for Local Government	\$22,873.00	\$109,684.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Provide for the local administration of the projects

Location Description:

Various local communities in the Commonwealth of Kentucky.

Activity Progress Narrative:

Local administration fees for Bonnieville, Greenup Co , Lewis Co, Vanceburg, Berry and Paris

Accomplishments Performance Measures

No Accomplishments Performance Measures found.

Beneficiaries Performance Measures

No Beneficiaries Performance Measures found.

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Project # / Title: DI-10-11D-000-003 / Community Development

Grantee Activity Number: DI-10-11D-014-003
Activity Title: Columbia-streambank stabilization

Activity Category:
Dike/dam/stream-river bank repairs

Activity Status:
Under Way

Project Number:

Project Title:



DI-10-11D-000-003

Community Development

Projected Start Date:

08/30/2012

Projected End Date:

12/31/2014

Benefit Type:

Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

City of Columbia

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$591,000.00
Total Budget	\$0.00	\$591,000.00
Total Obligated	\$0.00	\$591,000.00
Total Funds Drawdown	\$67,969.00	\$225,602.00
Program Funds Drawdown	\$67,969.00	\$225,602.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$67,969.00	\$225,602.00
City of Columbia	\$67,969.00	\$225,602.00
Match Contributed	\$0.00	\$0.00

Activity Description:

In May 2010 the wastewater treatment plant suffered severe damage. The damage included failure of the plant's electrical and control components, backup generators and disinfection systems and pumping systems. Also the damages was severe erosion on the banks of the adjacent Russell Creek and the landslide above the plant that would have to be addressed to complete the recovery. This project will also reconstruct the banks of Russell Creek and stabilize the landslide.

Location Description:

Along the banks of Russell Creek in the City of Columbia.

Activity Progress Narrative:

Draw #5 stream stabilization is complete and 50% of the retaining wall is complete

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	0	0/1



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	1042	474	3312	45.77

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-015-003
Activity Title:	Clinton Co.-Bridge replacement

Activity Category:
Rehabilitation/reconstruction of a public improvement

Project Number:
DI-10-11D-000-003

Projected Start Date:
09/28/2012

Benefit Type:
Area Benefit (Census)

National Objective:
Low/Mod

Activity Status:
Under Way

Project Title:
Community Development

Projected End Date:
06/30/2015

Completed Activity Actual End Date:

Responsible Organization:
Clinton County Fiscal Court

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$212,400.00
Total Budget	\$0.00	\$212,400.00
Total Obligated	\$0.00	\$212,400.00
Total Funds Drawdown	\$2,730.00	\$7,230.00
Program Funds Drawdown	\$2,730.00	\$7,230.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$2,730.00	\$7,230.00
Clinton County Fiscal Court	\$2,730.00	\$7,230.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood in 2010 caused the bridge to fail because the storm water washed out the asphalt pavement and a section of the apron on the east end of the bridge. As a result the bridge became impassable and covered with debris. The proposed project will be the construction of a new bridge which will be wider and elevated to allow storm waters to pass underneath without damage to the bridge.

Location Description:

Ewing Branch Bridge in Clinton County, Kentucky.

Activity Progress Narrative:

draw # 2 engineering fees only

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/65



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	79	35	211	54.03

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-020-003
Activity Title:	Stanton-Wastewater Treatment Plant

Activity Category:

Econ. development or recovery activity that creates/retains jobs

Project Number:

DI-10-11D-000-003

Projected Start Date:

08/01/2012

Benefit Type:

Direct Benefit (Persons)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

12/31/2014

Completed Activity Actual End Date:

Responsible Organization:

City of Stanton

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$1,132,044.00
Total Budget	\$0.00	\$1,132,044.00
Total Obligated	\$0.00	\$1,132,044.00
Total Funds Drawdown	\$0.00	\$1,127,218.00
Program Funds Drawdown	\$0.00	\$1,127,218.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$1,127,218.00
City of Stanton	\$0.00	\$1,127,218.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Construction of a regional wastewater treatment plant to lift tap on ban by Division of Water and keep and retain jobs in area.

Location Description:

The plant will be located in the City of Stanton.

Activity Progress Narrative:

still awaiting PCR

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of buildings (non-residential)	0	0/20
# of Businesses	0	0/35



Beneficiaries Performance Measures

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Permanent Jobs Retained	0	0	0	0/100	0/75	0/245	0

	This Report Period			Cumulative Actual Total / Expected			
	Low	Mod	Total	Low	Mod	Total	Low/Mod%
# of Persons	0	0	0	0/150	0/100	0/455	0

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	



Grantee Activity Number:	DI-10-11D-024-003
Activity Title:	Bonnieville-drainage improvements

Activity Category:
Rehabilitation/reconstruction of a public improvement

Project Number:
DI-10-11D-000-003

Projected Start Date:
09/28/2012

Benefit Type:
Area Benefit (Census)

National Objective:
Urgent Need

Activity Status:
Under Way

Project Title:
Community Development

Projected End Date:
06/30/2014

Completed Activity Actual End Date:

Responsible Organization:
City of Bonnieville

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$385,517.00
Total Budget	\$0.00	\$385,517.00
Total Obligated	\$0.00	\$385,517.00
Total Funds Drawdown	\$107,473.00	\$385,517.00
Program Funds Drawdown	\$107,473.00	\$385,517.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$107,473.00	\$385,517.00
City of Bonnieville	\$107,473.00	\$385,517.00
Match Contributed	\$0.00	\$0.00

Activity Description:

As a result of the flooding of May 2010, Streets became impassible, homes suffered damages, culverts were clogged with debris as a result of the failure of the culverts to carry off the excess storm water. This project is to eliminate the excessive erosion, damage, safety hazards and mosquito nuisance, the following will happen, clean out approximately 1,500 feet of drainage ditch, replace 2,400 feet of damaged and ineffective drain tile; installing grates at culverts, replacing washed out culverts, install riff raff rock at culverts with eroded sloped and blacktop replacement.

Location Description:

Various locations throughout the City of Bonnieville.

Activity Progress Narrative:

Bonnieville 11D-024 draws 8 & 9 83% complete and should close in July

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/1500



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	97	86	364	50.27

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-031-003
Activity Title:	Benton-Sewer system rehab

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Planned

Project Number:

DI-10-11D-000-003

Project Title:

Community Development

Projected Start Date:

08/31/2012

Projected End Date:

06/30/2015

Benefit Type:

Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:

Urgent Need

Responsible Organization:

City of Benton1

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$294,120.00
Total Budget	\$0.00	\$294,120.00
Total Obligated	\$0.00	\$294,120.00
Total Funds Drawdown	\$0.00	\$0.00
Program Funds Drawdown	\$0.00	\$0.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$0.00
City of Benton1	\$0.00	\$0.00
Match Contributed	\$0.00	\$0.00

Activity Description:

In the May flood event of 2010, the City of Benton's sewer system was damaged by the storm waters and the high volume of the water to the plant was diverted into a stream tributary of the Clarks River. This brought an consent order judgement for the KY Division of Enforcement for the Environmental Cabinet. This project proposed to identify the areas of infiltration and inflow along with repairing damages and debris that will need to be corrected as a result of the flood event. This project proposes to conduct lateral connections rehab; 5,800 LF of gravity sewer replaced or rehab and 20,000 LF of sewer inspection and cleaning.

Location Description:

In the south western portion of the sewer system along and off of KY Highway 58 in the city of Benton.

Activity Progress Narrative:

They have ROF, still awaiting first draw and a preliminary monitoring has been completed.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/25800



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	988	487	3870	38.11

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-033-003
Activity Title:	Hardin-Sewer system rehab

Activity Category:
Construction/reconstruction of water/sewer lines or systems

Project Number:
DI-10-11D-000-003

Projected Start Date:
09/28/2012

Benefit Type:
Area Benefit (Census)

National Objective:
Low/Mod

Activity Status:
Under Way

Project Title:
Community Development

Projected End Date:
12/31/2014

Completed Activity Actual End Date:

Responsible Organization:
City of Hardin

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$294,300.00
Total Budget	\$0.00	\$294,300.00
Total Obligated	\$0.00	\$294,300.00
Total Funds Drawdown	\$5,450.00	\$290,034.00
Program Funds Drawdown	\$5,450.00	\$290,034.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$5,450.00	\$290,034.00
City of Hardin	\$5,450.00	\$290,034.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood event of 2010 created damages and problems to an aging waste water collection and treatment system and forced heavy sewage into streets and around homes. To recover from the disaster and bring the system up to a normal operations the city proposes to identify, the sections of the system that need to be replaced, repaired and cleaned out.

Location Description:

In the City of Hardin.

Activity Progress Narrative:

draw #10, working on completing the final work and need to resolve the monitoring findings from 4/16/14 monitoring.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/6150



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	225	139	560	65.00

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-034-003

Activity Title: Greenup Co.-Bridge replacements

Activity Category:

Rehabilitation/reconstruction of a public improvement

Project Number:

DI-10-11D-000-003

Projected Start Date:

08/01/2012

Benefit Type:

Area Benefit (Census)

National Objective:

Urgent Need

Activity Status:

Completed

Project Title:

Community Development

Projected End Date:

06/30/2014

Completed Activity Actual End Date:

04/21/2014

Responsible Organization:

Greenup County Fiscal Court

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$271,763.00
Total Budget	\$0.00	\$271,763.00
Total Obligated	\$0.00	\$271,763.00
Total Funds Drawdown	\$70,698.00	\$271,763.00
Program Funds Drawdown	\$70,698.00	\$271,763.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$70,698.00	\$271,763.00
Greenup County Fiscal Court	\$70,698.00	\$271,763.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood event in 2010 caused damages to a number of small bridges and headwalls throughout the county. These bridges allowed for the travel of emergency vehicles, law enforcement and citizens ability to get to work and public services. This project will allow for the repair of these bridges, headwalls and wooden decks.

Location Description:

Various locations in Greenup County, Kentucky: County Road(CR)1930 Brush Creek;CR1282 Harlow Hollow;CR1318 Buzzard Roost;CR1331 Cub Run;CR1371 Maller Lane;CR1018 Smith Branch Road;CR102B Billups Fork;CR1028 Wells Hollow;CR1377 Beechy Creek;CR1001 Allcorn Road;CR1709 Sinking Road and CR1147 Muddy Branch.

Activity Progress Narrative:

Greenup Co 11D-034 draw #3 project is complete, completed the repair of 4 bridges for a total of 108 ft.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	108	544/1200



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	4194	2957	17101	41.82

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-037-003
Activity Title: Lewis Co.-Acquisition

Activity Category:
 Acquisition - buyout of residential properties
Project Number:
 DI-10-11D-000-003
Projected Start Date:
 08/01/2012
Benefit Type:
 Area Benefit (Census)
National Objective:
 Slums and Blight

Activity Status:
 Under Way
Project Title:
 Community Development
Projected End Date:
 06/30/2015
Completed Activity Actual End Date:

Responsible Organization:
 Lewis County Fiscal Court

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$178,980.00
Total Budget	\$0.00	\$178,980.00
Total Obligated	\$0.00	\$178,980.00
Total Funds Drawdown	\$26,233.00	\$26,233.00
Program Funds Drawdown	\$26,233.00	\$26,233.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$26,233.00	\$26,233.00
Lewis County Fiscal Court	\$26,233.00	\$26,233.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Lewis County proposes an acquisition/demolition project as a result of the disaster that has created slum and blighted area. Project involves acquisition/demolition of 12 residential properties and the acquisition of 9 vacants lots that are contiguous to the demolition properties on Waterbrich road. The following addresses are included in this acquisition/demolition project: 89 Trace road, 91 Trace road; 281 Trace road; 140 Salt Lick road; 7931 Lower Kinney road; 43 Waterbirch road, 70 Waterbirch road; 89 Waterbirch road; 149 Waterbirch road; 291 Waterbirch road; 263 Waterbirch road; 451 Waterbirch road. The vacant lots are #13-#21 on Waterbirch road.

Location Description:

The following addresses are 89, 91,281, Trace road, 140 Salt Lick Road, 7941 Lower Kinney Road, and 43, 70, 89, 451 and vacant lots of Waterbirch road in Lewis County.

Activity Progress Narrative:

Draw #1 project is underway with the acquisition of 2 properties, 2 surveys, 7 appraisals and paying of property & legal fees.

Accomplishments Performance Measures

This Report Period Total	Cumulative Actual Total / Expected Total
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# of Properties	2	2/21
# of Parcels acquired by	0	0/0
# of Parcels acquired voluntarily	2	2/21
Total acquisition compensation to	20000	20000/0

Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	3167	1861	9073	55.42

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-038-003

Activity Title: Vanceburg-Stormwater system Recovery

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Completed

Project Number:

DI-10-11D-000-003

Project Title:

Community Development

Projected Start Date:

08/30/2012

Projected End Date:

06/30/2014

Benefit Type:

Area Benefit (Census)

Completed Activity Actual End Date:

05/13/2014

National Objective:

Low/Mod

Responsible Organization:

City of Vanceburg

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$897,400.00
Total Budget	\$0.00	\$897,400.00
Total Obligated	\$0.00	\$897,400.00
Total Funds Drawdown	\$0.00	\$897,400.00
Program Funds Drawdown	\$0.00	\$897,400.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$897,400.00
City of Vanceburg	\$0.00	\$897,400.00
Match Contributed	\$0.00	\$0.00

Activity Description:

This project consists of paying for recovery efforts to pump stations in different places in the city mudslides and utilities washouts. The city also proposes a recovery effort to repair and replace the Combined Stormwater and Sewer system.

Location Description:

Areas around Central Elementary School and Town Branch, Rock Run road crossing, Halbert Avenue and Indian creek.

Activity Progress Narrative:

project completed by replacing/repairing a total of 10,551 lf of pipe.

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Public Facilities	1	1/1
# of Linear feet of Public Improvement	10551	10551/5000



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	645	290	1514	61.76

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-039-003
Activity Title:	Olive Hill-Hydrological Study

Activity Category:

Planning

Project Number:

DI-10-11D-000-003

Projected Start Date:

07/31/2012

Benefit Type:

Area Benefit (Census)

National Objective:

N/A

Activity Status:

Completed

Project Title:

Community Development

Projected End Date:

03/30/2014

Completed Activity Actual End Date:

04/16/2014

Responsible Organization:

City of Olive Hill

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$294,120.00
Total Budget	\$0.00	\$294,120.00
Total Obligated	\$0.00	\$294,120.00
Total Funds Drawdown	\$0.00	\$293,801.00
Program Funds Drawdown	\$0.00	\$293,801.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$293,801.00
City of Olive Hill	\$0.00	\$293,801.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The flood of May 2010 devastated the community and the damages to the residential and commercial structures totaled for than \$800,000. Highway 60 was blocked for several days impeding access to health clinic, schools and businesses. To maintain the economic viability of the community and provide long-term strategies for economic recovery a complete understanding of the dynamics of the flooding event must be obtained. The city wants to collect data thru a hydrological & hydraulic analysis for the purpose of developing an economic recovery strategy.

Location Description:

City of Olive Hill.

Activity Progress Narrative:

The study identified causes of flooding such as blockages and bottlenecks downstream and indicated probable options to correct the flooding issue. Options are constructing a floodwall to curtail the flooding or eliminating the blockages.

Accomplishments Performance Measures

No Accomplishments Performance Measures found.



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	625	361	1879	52.47

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: DI-10-11D-040-003

Activity Title: Monroe Co.-Bridge replacement

Activity Category:

Rehabilitation/reconstruction of a public improvement

Activity Status:

Under Way

Project Number:

DI-10-11D-000-003

Project Title:

Community Development

Projected Start Date:

09/28/2012

Projected End Date:

12/31/2014

Benefit Type:

Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

Monroe County Fiscal Court

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$650,455.00
Total Budget	\$0.00	\$650,455.00
Total Obligated	\$0.00	\$650,455.00
Total Funds Drawdown	\$348,080.00	\$456,635.00
Program Funds Drawdown	\$348,080.00	\$456,635.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$348,080.00	\$391,851.00
Monroe County Fiscal Court	\$348,080.00	\$391,851.00
Match Contributed	\$0.00	\$0.00

Activity Description:

As a result of the May 2010 flood the county proposed to repair the Jenkins road bridge by the removal and replacement of the concrete portion of the existing structure damaged and restore the eroded stream bank. For the H. Branham road bridge, installing gabion baskets along the stream bank and between the abutment columns to stabilize the entire structure. The existing Lyons road bridge is a one lane low water ford that was severely damaged in the May flood. The ongoing problems presented by the condition of the bridge necessitates its replacement. The replacement will be span bridge with a 24' roadway width. The grade and structure will allow the flood waters to pass below the span. The Lyon road bridge serves the entire county and which is LMI. The Jenkins road bridge serves census tract 211719902002 which is LMI. The Branham road bridge has been surveyed and the result show that it is also LMI.

Location Description:

Three bridges in Monroe County. The bridges are located on Lyons road, Jenkins road and H. Branham road.

Activity Progress Narrative:

construction is underway on the final bridge



Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	82/110

Beneficiaries Performance Measures

	Beneficiaries - Area Benefit Census Method		
	Low	Mod	Total Low/Mod%
# of Persons	3468	2567	11624 51.92

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Grantee Activity Number: DI-10-11D-041-003
Activity Title: Edmonton-Sewer rehab

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

DI-10-11D-000-003

Projected Start Date:

09/28/2012

Benefit Type:

Area Benefit (Census)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

06/30/2015

Completed Activity Actual End Date:

Responsible Organization:

City of Edmonton

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$980,700.00
Total Budget	\$0.00	\$980,700.00
Total Obligated	\$0.00	\$980,700.00
Total Funds Drawdown	\$21,441.00	\$179,969.00
Program Funds Drawdown	\$21,441.00	\$179,969.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$21,441.00	\$179,969.00
City of Edmonton	\$21,441.00	\$179,969.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood event of 2010 completely overwhelmed and created damages to the City of Edmonton's waste water treatment facility. This project will involve the replacement of 7,300 LF of 10" and 9,600 LF of 8" gravity sewers, rehabilitation of 10,400 LF of 10" and 8" gravity sewers, rehabilitation of 135 manholes and pump station replacement and replacement/rehabilitation of equipment at the wastewater treatment plant.

Location Description:

In the City of Edmonton.

Activity Progress Narrative:

draw # 6 and the project is 45% complete and progressing nicely

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/27300



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	537	220	1446	52.35

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-042-003

Activity Title: Berea-Stormwater Drainage Improvements

Activity Category:

Rehabilitation/reconstruction of a public improvement

Activity Status:

Under Way

Project Number:

DI-10-11D-000-003

Project Title:

Community Development

Projected Start Date:

10/30/2012

Projected End Date:

12/31/2014

Benefit Type:

Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

City of Berea

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$292,200.00
Total Budget	\$0.00	\$292,200.00
Total Obligated	\$0.00	\$292,200.00
Total Funds Drawdown	\$0.00	\$83,878.00
Program Funds Drawdown	\$0.00	\$83,878.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$83,878.00
City of Berea	\$0.00	\$83,878.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The flooding event of May caused water to accumulate in the northeast corner of the watershed at the intersection of Highway 1016 and Water Street. This cause flooding to businesses and homes. The flood crossed the Highway which is the eastern corridor into Berea and blocked some residents from travelling to or from work for two days. This project will control the flood waters by installing 7 combination type of inlets to be constructed along Rockcastle Street and two grate inlets located in backyards of houses across Rockcastle Street. Also a curb inlet on Highway 1016 will be used to capture street flood water coming off the highway. The diameter of the storm sewer pipes will vary in size form 12" to 30".

Location Description:

Approximately 8.2 acres in the Rockcastle neighborhood on the northeast side of the City of Berea along Highway 1016.

Activity Progress Narrative:

awaiting first draw and the project is getting underway

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/400



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	2573	1846	8021	55.09

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number: DI-10-11D-043-003

Activity Title: Berry-Stormwater drainage improvements

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

DI-10-11D-000-003

Projected Start Date:

10/01/2012

Benefit Type:

Area Benefit (Census)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

06/30/2014

Completed Activity Actual End Date:

Responsible Organization:

City of Berry

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$333,587.00
Total Budget	\$0.00	\$333,587.00
Total Obligated	\$0.00	\$333,587.00
Total Funds Drawdown	\$104,586.00	\$327,668.00
Program Funds Drawdown	\$104,586.00	\$327,668.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$104,586.00	\$327,668.00
City of Berry	\$104,586.00	\$327,668.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flooding caused siltation and erosion along with flooding closing roads and preventing access to homes and businesses. Also the stormwater and debris caused clogged ditchlines, cross drains and inlet structures. The water overflows the adjacent roadways and caused shoulder erosion and deterioration of the roadway base. To recovery from these damages the city proposes a storm sewer renewal project which includes work on silted storm inlets and drains will need to be cleared and/or replaced to meet current storm water design criteria; adjacent roadway and curb lines will be re-established to promote efficient drainage; there will also be culvert and ditch reconstruction to improve drainage.

Location Description:

Various locations in the City of Berry: 2nd & Main; 2nd Street at the Railroad tunnel; Blake lane; Maple Avenue at Blake Lane; Mairn Street at City Park; KY1032 at 4th Street; Ross Avenue at 4th Street and Welborn at 4th Street.

Activity Progress Narrative:

draw 12-16 and the project will be closing in July 2014

Accomplishments Performance Measures

**This Report Period
Total**

**Cumulative Actual Total / Expected
Total**



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	123	72	312	62.50

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources

Grantee Activity Number: DI-10-11D-044-003

Activity Title: Frankfort-Storm drainage improvements

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Project Number:

DI-10-11D-000-003

Projected Start Date:

07/30/2012

Benefit Type:

Area Benefit (Survey)

National Objective:

Low/Mod

Activity Status:

Under Way

Project Title:

Community Development

Projected End Date:

12/30/2014

Completed Activity Actual End Date:

Responsible Organization:

City of Frankfort

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$588,250.00
Total Budget	\$0.00	\$588,250.00
Total Obligated	\$0.00	\$588,250.00
Total Funds Drawdown	\$434,756.00	\$457,231.00
Program Funds Drawdown	\$434,756.00	\$457,231.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$434,756.00	\$457,231.00
City of Frankfort	\$434,756.00	\$457,231.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The proposed project consists of approximately 300 linear feet of 36-inch diameter, 875 LF of 30-inch diameter, 620 LF of 24-inch diameter and 230 LF of 15-inch RCP storm drainage pipe along with 16 storm inlet structures.

Location Description:

The Holmes street area of the City of Frankfort.

Activity Progress Narrative:

draw 2-5 and the project is nearing completion

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/2025



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Survey Method

	Low	Mod	Total Low/Mod%	
# of Persons	47	7	105	51.43

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-048-003
Activity Title:	Paris-Retaining wall

Activity Category:

Construction/reconstruction of streets

Project Number:

DI-10-11D-000-003

Projected Start Date:

09/28/2012

Benefit Type:

Area Benefit (Census)

National Objective:

Low/Mod

Activity Status:

Completed

Project Title:

Community Development

Projected End Date:

06/30/2014

Completed Activity Actual End Date:

06/20/2014

Responsible Organization:

City of Paris

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$129,460.00
Total Budget	\$0.00	\$129,460.00
Total Obligated	\$0.00	\$129,460.00
Total Funds Drawdown	\$2,460.00	\$129,460.00
Program Funds Drawdown	\$2,460.00	\$129,460.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$2,460.00	\$129,460.00
City of Paris	\$2,460.00	\$129,460.00
Match Contributed	\$0.00	\$0.00

Activity Description:

The May flood in 2010 caused flooding at the junction of Houston and Stoner creeks. This flooding destroyed a retaining wall that supports heavily travelled Second street in the city. This street is a major artery that carries traffic into and out of commercial section of the city. This project would repair the retaining wall which supports Second street.

Location Description:

Along Stoner and Houston Creek on Second Street in the City of Paris, Kentucky.

Activity Progress Narrative:

draw 3 &4. Project is complete with the reconstruction of 182 LF of the wall

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	182	182/25



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

	Low	Mod	Total Low/Mod%	
# of Persons	3143	1736	8963	54.43

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources

Amount

No Other Funding Sources Found

Total Other Funding Sources



Grantee Activity Number:	DI-10-11D-049-003
Activity Title:	Winchester-Storm sewer rehab

Activity Category:

Construction/reconstruction of water/sewer lines or systems

Activity Status:

Under Way

Project Number:

DI-10-11D-000-003

Project Title:

Community Development

Projected Start Date:

08/30/2012

Projected End Date:

06/30/2015

Benefit Type:

Area Benefit (Census)

Completed Activity Actual End Date:

National Objective:

Low/Mod

Responsible Organization:

City of Winchester

Overall	Apr 1 thru Jun 30, 2014	To Date
Total Projected Budget from All Sources	N/A	\$608,580.00
Total Budget	\$0.00	\$608,580.00
Total Obligated	\$0.00	\$608,580.00
Total Funds Drawdown	\$0.00	\$33,399.00
Program Funds Drawdown	\$0.00	\$33,399.00
Program Income Drawdown	\$0.00	\$0.00
Program Income Received	\$0.00	\$0.00
Total Funds Expended	\$0.00	\$33,399.00
City of Winchester	\$0.00	\$33,399.00
Match Contributed	\$0.00	\$0.00

Activity Description:

Two of the culverts heavily damaged during the May 2010 storm included erosion to the banks along with damages to the ends of the structure. As a result these will be replaced and the ditch line improved to increase drainage and wing walls and rip-rapp will be added to stabilize the structure. Also for two of the project areas a headwall w/grate will be installed on the discharge end of the 30" RCP drain pipe and installation of a 24" skewed headwall with grate on the inlet pipe.

Location Description:

The following locations in the City of Winchester: Spring Street Culvert, C.G. Stephenson Drive; Virginia Avenue and the Fifth Street culvert.

Activity Progress Narrative:

design is 90% complete and negotiations are underway for 1 parcel

Accomplishments Performance Measures

	This Report Period	Cumulative Actual Total / Expected
	Total	Total
# of Linear feet of Public Improvement	0	0/35



Beneficiaries Performance Measures

Beneficiaries - Area Benefit Census Method

# of Persons	Low	Mod	Total Low/Mod%
	2782	1010	5554 68.28

Activity Locations

No Activity Locations found.

Other Funding Sources Budgeted - Detail

No Other Match Funding Sources Found

Other Funding Sources	Amount
No Other Funding Sources Found	
Total Other Funding Sources	

Monitoring, Audit, and Technical Assistance

Event Type	This Report Period	To Date
Monitoring, Audits, and Technical Assistance	0	4
Monitoring Visits	0	17
Audit Visits	0	0
Technical Assistance Visits	0	2
Monitoring/Technical Assistance Visits	0	7
Report/Letter Issued	0	4